



# एसपीएमसीआईएल कर्मचारी भविष्य निधि ट्रस्ट SPMCIL EMPLOYEES PROVIDENT FUND TRUST

----- Limited Quotation Enquiry from Primary Dealers registered with RBI -----

F.No.: SPMCIL/EPF/Trust/1952/14-15/ 2816

Dated: 05.08.2014

To,  
The Primary Dealers  
As per list attached

**Subject: Investment Quotes for investment in Bonds/Securities of Public Financial Institutions (PFIs) as specified under Section 4(1) of the Companies Act, 1956, Public Sector companies (PSU) as defined in Section 2(36-A) of Income Tax Act, 1961 including public sector banks, Private Sector Bonds/Securities (1/3 of discretionary amount of 30%) both in primary/secondary market falling under 30% category as per the Guideline issued by Ministry of Labour vide notification no F.No – 27031/3/99-55-II dated 09.07.2003.**

Sir,

In continuation to our office letter no. SPMCIL/EPF/Trust/1952/14-15/2547 dated 24.07.2014, SPMCIL EPF Trust -1952 invited quotations from primary dealers for investment of Rs 4.50 Crores in Bonds/Securities of Public Financial Institutions (PFIs) as specified under Section 4(1) of the Companies Act, 1956, Public Sector companies (PSU) as defined in Section 2(36-A) of Income Tax Act, 1961 including public sector banks, Private Sector Bonds/Securities (1/3 of discretionary amount of 30%) both in primary/secondary market falling under 30% category as per the Guideline issued by Ministry of Labour vide notification no F.No – 27031/3/99-55-II dated 09.07.2003. However due to technical reasons above deal could not be finalized and therefore it is decided to invite fresh quotes for the above stated investment proposal with deal date on 07.08.2014 and value date on 08.08.2014 for amount of Rs 9.50 crores. PDs are requested to submit their quote by 11.15 am and it will be opened at 11.30 am on 07.08.2014.

2. Please note all the terms and conditions of original quotation letter no SPMCIL/EPF/Trust/1952/14-15/2547 dated 24.07.2014 will remain same except deal date, value date and amount of surplus funds. The deal will be on 07.08.2014 and value date will be on 08.08.2014 for investment of surplus funds of Rs 9.50 crores. Also it is requested to all PDs to quote only those securities for which they can honor their deals for investment.

3. Copy of original letter is also enclosed herewith for your reference.

Thanking You,

Yours faithfully

*Sanjai Maheshwari*

**Sanjai Maheshwari**

Addl. General Manager (Finance)



# एसपीएमसीआईएल कर्मचारी भविष्य निधि ट्रस्ट

## SPMCIL EMPLOYEES PROVIDENT FUND TRUST

----- Limited Quotation Enquiry from Primary Dealers registered with RBI -----

F.No.: SPMCIL/EPF/Trust/1952/14-15/ 2547

Dated: 24.07.2014

To,  
The Primary Dealers  
As per list attached

**Subject:** Investment Quotes for investment in Bonds/Securities of Public Financial Institutions (PFIs) as specified under Section 4(1) of the Companies Act, 1956, Public Sector companies (PSU) as defined in Section 2(36-A) of Income Tax Act, 1961 including public sector banks, Private Sector Bonds/Securities (1/3 of discretionary amount of 30%) both in primary/secondary market falling under 30% category as per the Guideline issued by Ministry of Labour vide notification no F.No – 27031/3/99-55-II dated 09.07.2003.

Sir,

SPMCIL EPF Trust -1952 proposes to invest approx. **Rs. 4.50 Crores** in Bonds/Securities of Public Financial Institutions (PFIs) as specified under Section 4(1) of the Companies Act, 1956, Public Sector companies (PSU) as defined in Section 2(36-A) of Income Tax Act, 1961 including public sector banks, Private Sector Bonds/Securities (1/3 of discretionary amount of 30%) both in primary/secondary market falling under 30% category as per the Guideline issued by Ministry of Labour vide notification no F.No – 27031/3/99-55-II dated 09.07.2003

2. The bids are invited from Primary Dealers in Government Securities/Other Securities as per the list appearing on the website of Reserve Bank of India. Interested bidders may submit most competitive rates of the securities available in the primary/secondary markets in the format given below in a **Sealed Cover only** addressed to "The Trustee, SPMCIL EPF Trust", 16<sup>th</sup> Floor, Jawahar Vyapar Bhawan, Janpath, New Delhi – 110001 on **28.07.2014 latest by 11:30 am and to be opened at 11:45 am on same day.**

Interested firms have to submit their offer strictly in the format given below:

Detail of the Bonds	Coupon Rate	Face Value per Bond	Offered Price	YTM (annualized)	Redemption Date/Maturity Date	Quantum available	Rating

Any deviation from the format provided above may lead to rejection/disqualification of the offer.

### Terms & Condition for Submitting the offer:

- Only Bonds/Securities of Public Financial Institutions (PFIs) as specified under Section 4(1) of the Companies Act, 1956, Public Sector companies (PSU) as defined in Section 2(36-A) of Income Tax Act, 1961 including public sector banks, Private Sector Bonds/Securities (1/3 of discretionary amount of 30%) both in primary/secondary market falling under 30% category as per the Guideline issued by Ministry of Labour vide notification no F.No – 27031/3/99-55-II dated 09.07.2003 to be offered. The bonds should be secured and unsecured/subordinated bonds will not be considered.
- Perpetual Bonds, Basel III bonds and Securities of Private PFIs will not be entertained. Central/ State Guaranteed securities will not be considered if quoted under the PSU category.
- Bonds/Securities with credit rating of AAA will only be considered for investment of surplus funds.

4. Deal shall be executed only through Demat account with HDFC Bank through NSCCL/F-Trac.
5. **The deal date will be 28.07.2014 & value date will be a next working day that is 30.07.2014.**
6. Non-acceptance of the investment offer of the security by the firm if the same is short listed by the trustees may lead to blacklisting of the firm and/or firm may be debarred from future participation.
7. In case the firm/firms asked to quote is/are not willing to offer the securities, the same must be intimated by firm/firms by addressing to the Trustee, SPMCIL Employees Provident Fund Trust -1952 on the Fax No. 011-43582216.
8. Offer shall remain valid for at least **one day**.
9. Contact numbers, E-mail address and name & designation of the persons to be contacted for the confirmation of the deal should be clearly mentioned.
10. Quotation received after stipulated time will not be considered.
11. **Quotation should be sent in the sealed envelope only. Quotation received through Email/Fax will not be considered.**
12. **Envelope containing the quotation must be marked as "Quotation for Investment of Rs 4.50 Crores in Bonds/Securities by SPMCIL Employees Provident Fund Trust -1952."**
13. Quotation submitted by the firm if found non-compliant with the format provided may be liable for rejection/disqualification. Interested bidders are advised to visit our website [www.spmcil.com](http://www.spmcil.com) for more details under latest tenders by SPMCIL.
14. The offers/ quotations shall be accepted only from those firms to whom this invitation letter has been addressed. Offers from group companies or any other entities shall not be entertained.
15. Mere invitation to quote or receipt of quote shall not bind the Trust to accept the bid/ quotation from the offerer. The decision of the Trust in this respect shall be final and binding on the bidders.
16. This quotation letter is being published only as an abundant precaution and is not an open invitation to quote. Participation in this quotation is by invitation only and is limited to the Primary Dealers registered with RBI only. Unsolicited offers are liable to be ignored.
17. Bidders are also requested to provide the following details in their quotation letter:
  - Name of Beneficiary to whom funds will be remitted.
  - IFSC code of beneficiary.
  - Time of deal.
18. The Trust reserves the right to reject any or all quotations without assigning any reason.

**Evaluation of Offer:**

Offers will be evaluated based on YTM of the securities. Security/Securities yielding maximum YTM will be selected for making investment. In case of various securities offering the same YTM, the investment amount shall be proportionately distributed among various securities, as per the quantum offered.

Notwithstanding anything stated above, SPMCIL Employees Provident Fund Trust – 1952 reserves the right to reject the tender anytime without assigning any reason for the same.

Yours faithfully

*Sanjai Maheshwari*

**Sanjai Maheshwari**  
Addl. General Manager (Finance)